



***A Física dos metamateriais: da
refração negativa aos mantos da
invisibilidade***

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Outline

- **What is Negative Refraction (NR) ?**
- **What are the main consequences of NR?**
 - **Electromagnetic properties of NR media.**
- **What are the applications of NR and metamaterials ?**
 - **Perfect lens**
 - **Cloaking**
- **How to achieve NR ?**
- **Alternative routes to NR ?**
 - **The chiral route to NR.**

What is negative refraction ?

Left-Handed materials

Wave equation

$$\nabla^2 \mathbf{E} - \frac{\epsilon\mu}{c^2} \frac{\partial^2 \mathbf{E}}{\partial t^2} = 0$$

Plane wave

$$\mathbf{E}(\mathbf{r}, t) = \mathbf{E}_0 \exp(\mathbf{k} \cdot \mathbf{r} - \omega t)$$

$$\left[k^2 - \epsilon\mu \frac{\omega^2}{c^2} \right] \mathbf{E} = 0$$

Propagation occurs if

$$\epsilon > 0, \mu > 0$$

OR

$$\epsilon < 0, \mu < 0$$

If $\epsilon < 0, \mu < 0$

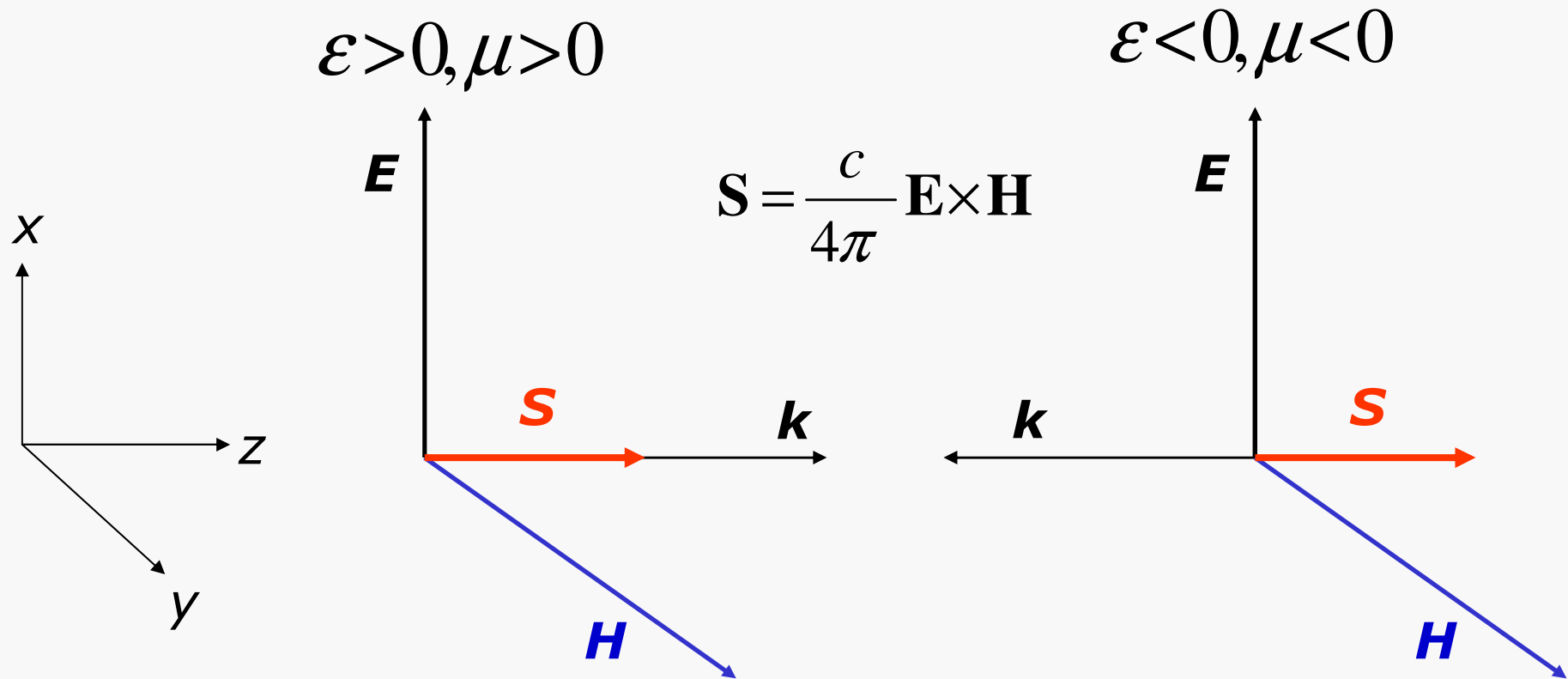


$$\mathbf{k} \times \mathbf{E} = -\frac{|\mu|\omega}{c} \mathbf{H}$$

$$\mathbf{k} \times \mathbf{H} = +\frac{|\epsilon|\omega}{c} \mathbf{E}$$

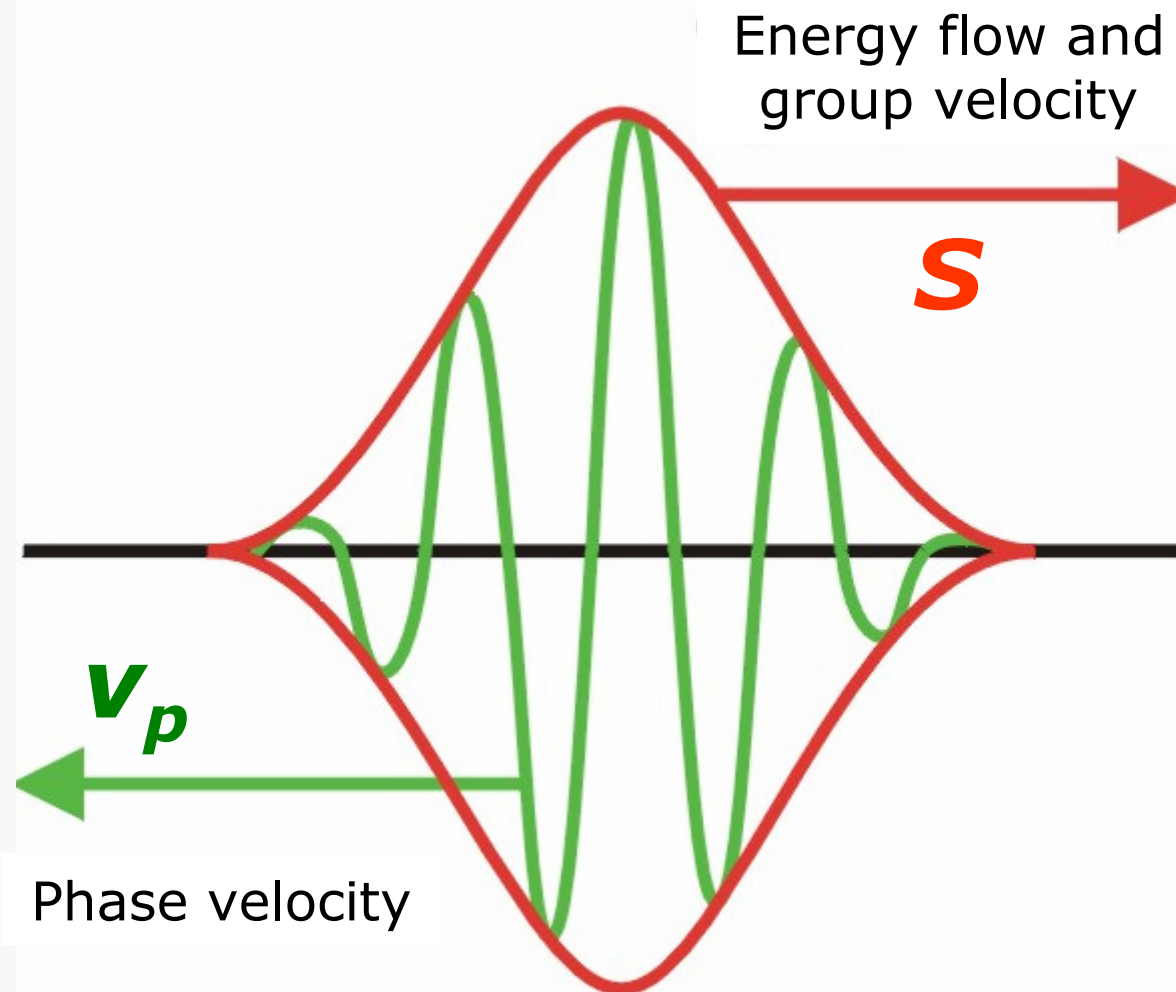
What is negative refraction ?

Left-Handed materials



Phase velocity $v_p = \omega/k$ is opposite to the direction of electromagnetic propagation (group velocity)!

What is negative refraction ?



What is negative refraction ?

Left-Handed materials: Dispersion

Electromagnetic energy $U = \frac{1}{8\pi} \left\{ \frac{\partial(\epsilon\omega)}{\partial\omega} E^2 + \frac{\partial(\mu\omega)}{\partial\omega} H^2 \right\} > 0$

➔ In LH media, ϵ and μ **must** depend on the frequency !

Otherwise ➔ $U = \frac{1}{8\pi} \{ \epsilon E^2 + \mu H^2 \} < 0$

$$\frac{\partial\omega\epsilon(\omega)}{\partial\omega} > 0 \quad \frac{\partial\omega\mu(\omega)}{\partial\omega} > 0$$

Kramers-Kronig relations ➔

Complex
 $\epsilon(\omega)$ $\mu(\omega)$

What is negative refraction ?

Left-Handed materials: Index of refraction

Veselago (1968)

$$\varepsilon(\omega) = |\varepsilon(\omega)| \exp(i\phi_\varepsilon) \quad \mu(\omega) = |\mu(\omega)| \exp(i\phi_\mu)$$

$$n(\omega) = \pm \sqrt{\varepsilon(\omega)\mu(\omega)} \quad n(\omega) = |n(\omega)| \exp(i\phi_n)$$

Passive materials $\Rightarrow n_i(\omega) > 0$

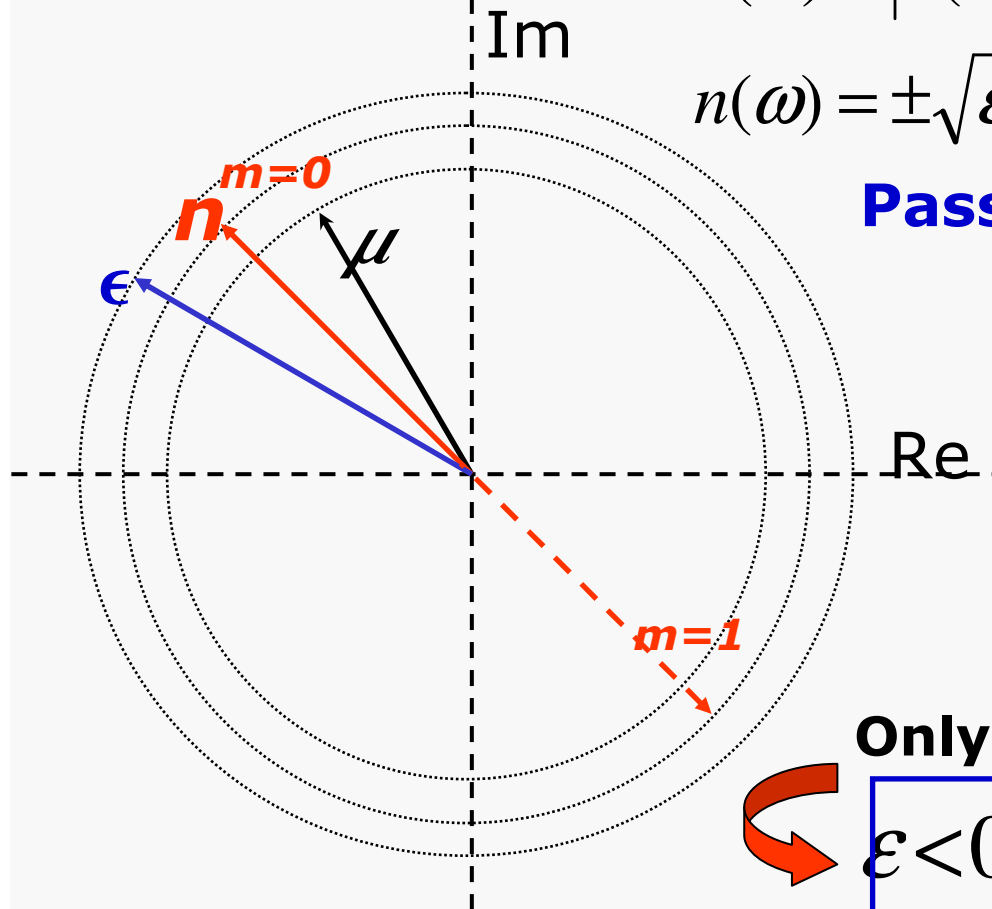
$$0 \leq \phi_\varepsilon, \phi_\mu, \phi_n \leq \pi$$

$$|n(\omega)| = \sqrt{|\varepsilon(\omega)\mu(\omega)|}$$

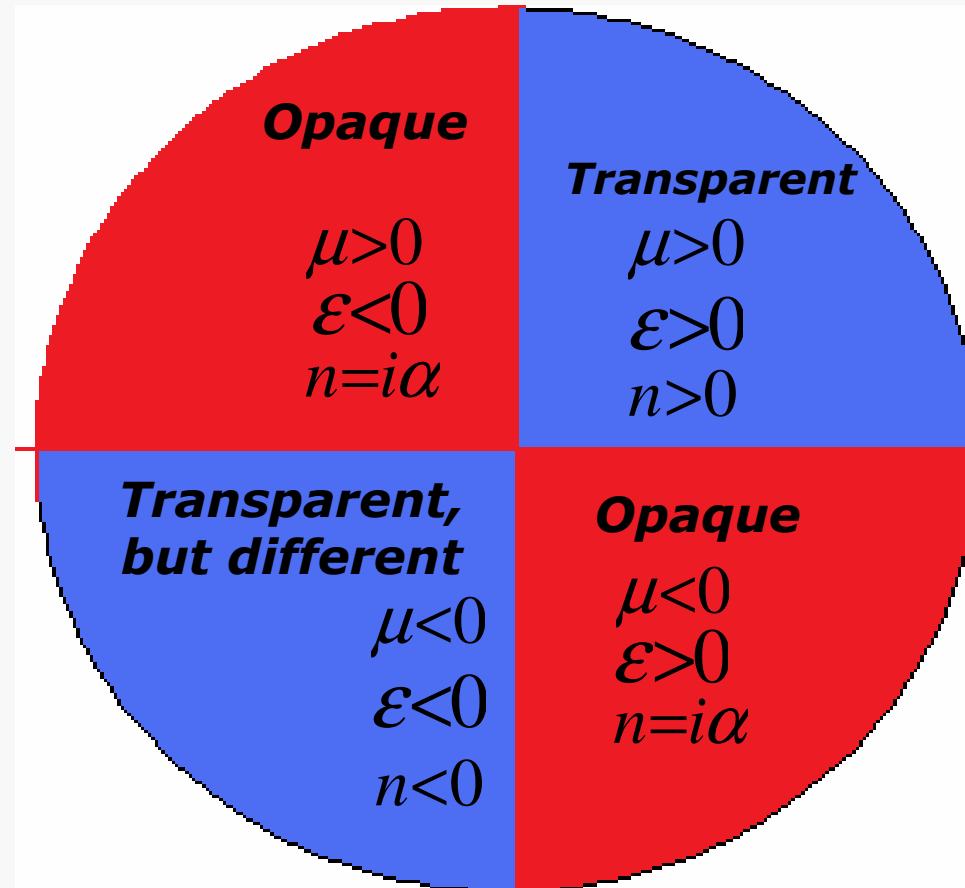
$$\phi_n = \frac{1}{2} (\phi_\varepsilon + \phi_\mu + 2\pi m) \quad m = 0, 1$$

Only $m=0$ is physical $\Rightarrow n_i(\omega) > 0$


$\varepsilon < 0$ and $\mu < 0$ means $n_r(\omega) < 0$



What is negative refraction ?

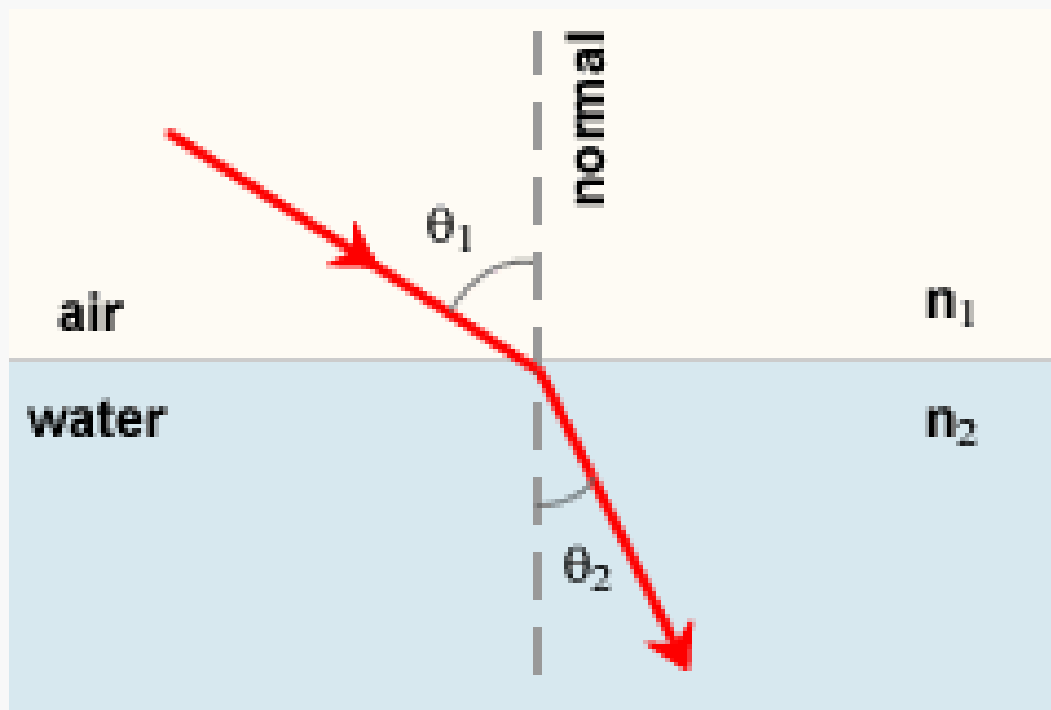


$$k = (\omega / c_o) \times n$$

 $\epsilon < 0$ and $\mu < 0$ means $n_r(\omega) < 0$

What is negative refraction ?

Normal refraction Snell-Descartes Law (1621)

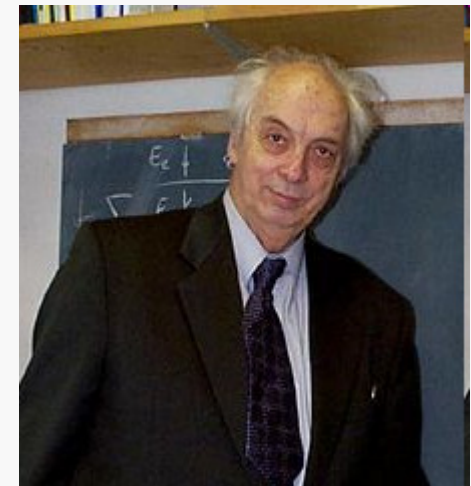
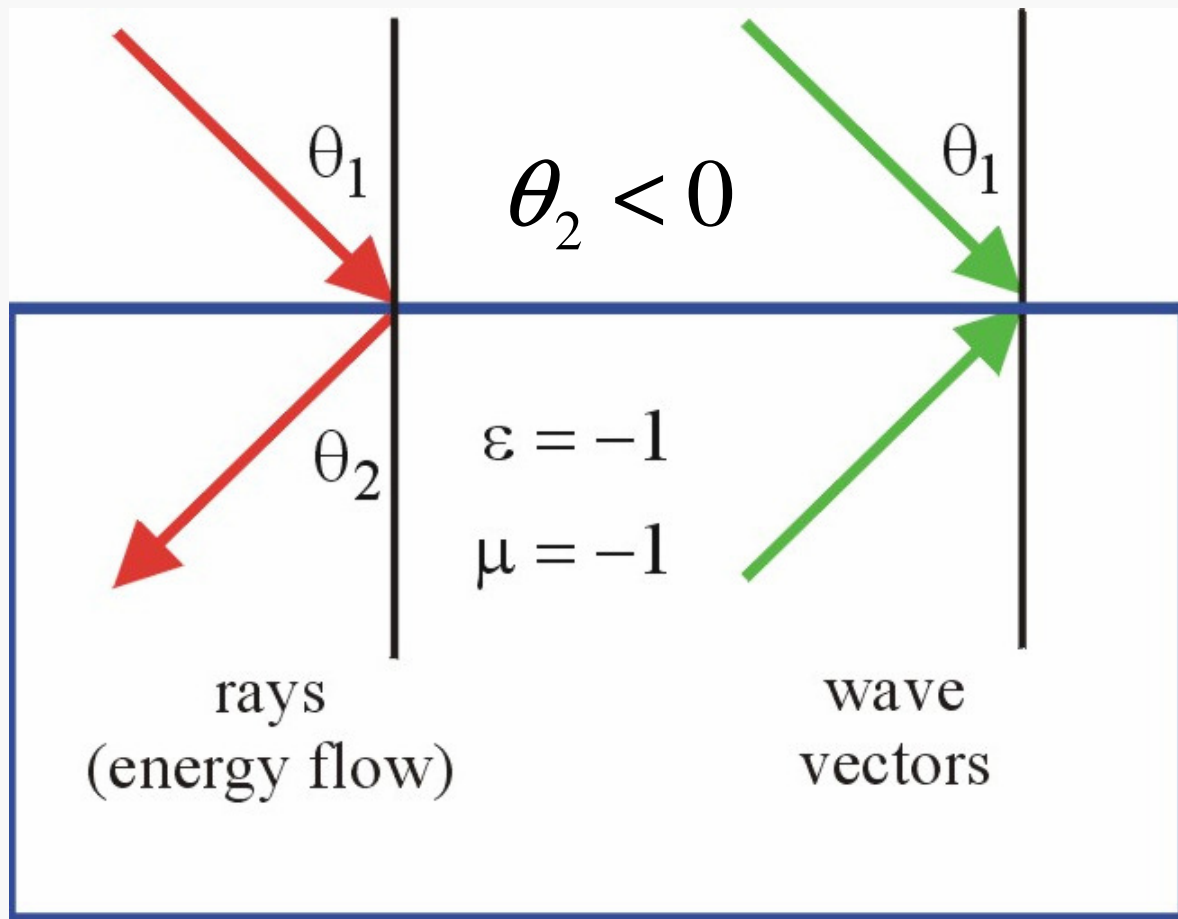


Willebrord Snell van Roijen
(or Snellius) (1580- 1626)

$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$

$$n = \sqrt{\epsilon\mu}$$

What is negative refraction ?



V. Veselago

$$n = -\sqrt{\epsilon\mu}$$

What is negative refraction ?

*Normal
refraction*

*Negative
refraction*



(a)



(b)



(c)

What are the main consequences of NR?

NEGATIVE-INDEX WEIRDNESS

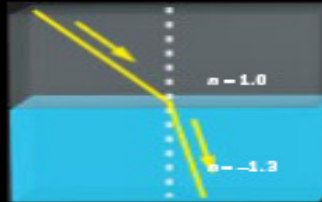
In a medium with a negative index of refraction, light (and all other electromagnetic radiation) behaves differently than in conventional positive-index material in a number of counterintuitive ways.

POSITIVE-INDEX MEDIUM

A pencil in a glass of water appears bent because of the water's higher refractive index.



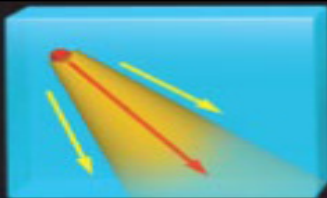
When light travels from a medium with low refractive index (n) to one with higher refractive index (n), it bends toward the normal (dashed line at right angles to surface).



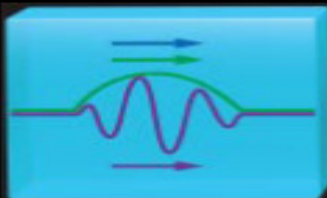
A receding object appears redder because of the Doppler effect.



A charged object (red) traveling faster than the speed of light generates a cone of Cherenkov radiation (yellow) in the forward direction.



In a positive-index medium, the individual ripples of an electromagnetic pulse (purple) travel in the same direction as the overall pulse shape (green) and the energy (blue).



NEGATIVE-INDEX MEDIUM

A pencil embedded in a negative-index medium would appear to bend all the way out of the medium.



When light travels from a positive-index medium to one with negative index, it bends all the way back to the same side of the normal.



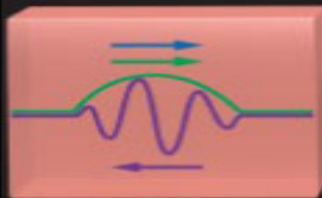
A receding object appears bluer.



The cone points backward.



The individual ripples travel in the opposite direction to the pulse shape and the energy.

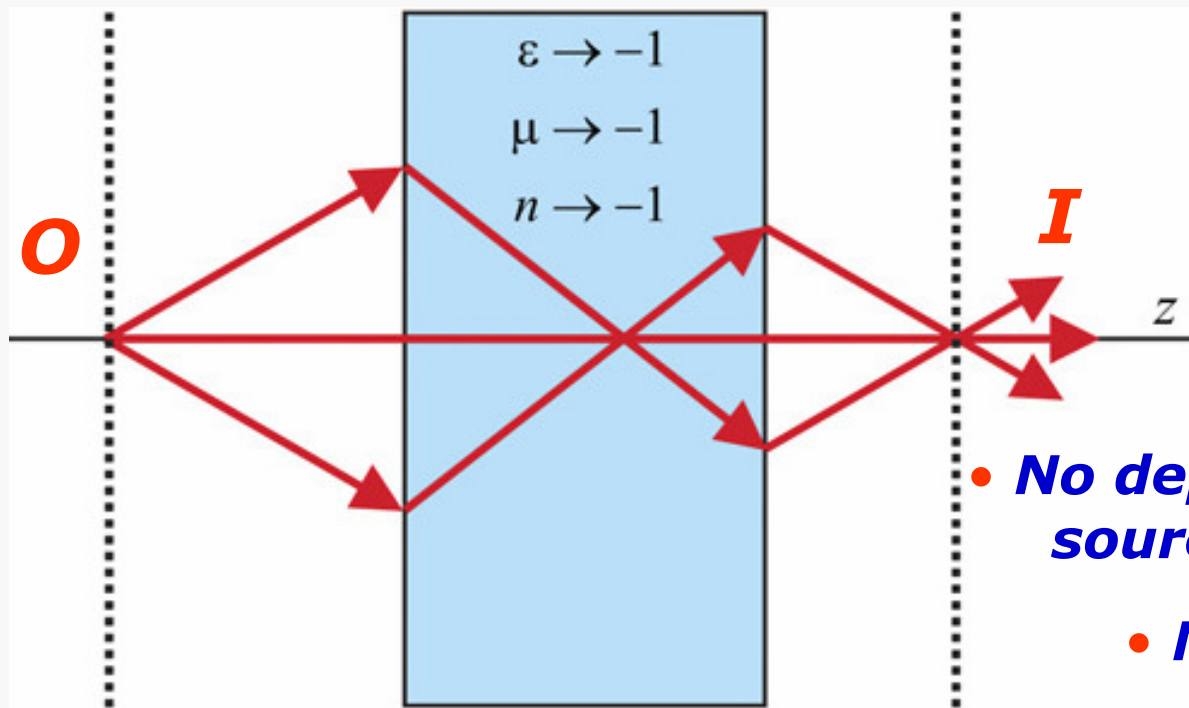


J. Pendry,
D. Smith,
Sci. Am. 7/2006

What are the applications of NR ?

Perfect lens: Snell's law

J. Pendry, PRL **85**, 3966 (2000)



- **Focusing**
- **No dephasing between point source and image ($n=-1$)**
- **No reflection ($n=-1$)**

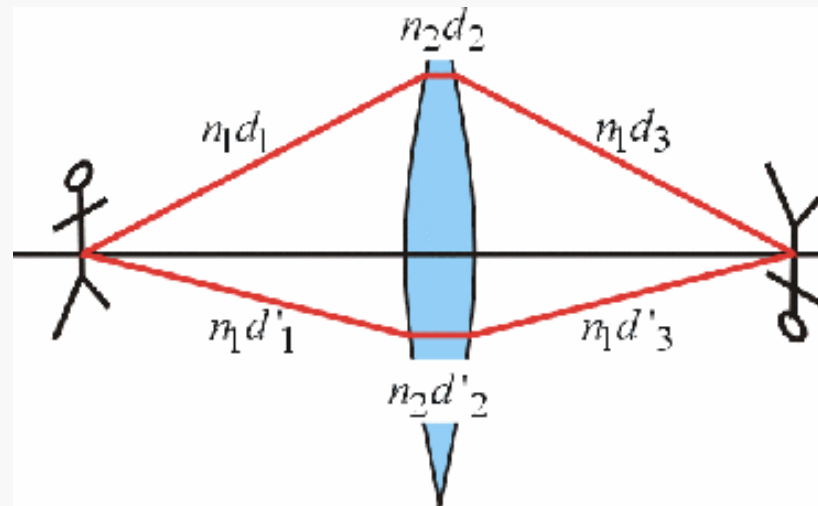
$$k_{2z} = -k_{1z}$$

Applications: Perfect lens

The Fermat's principle



“Light takes the shortest optical path between two points.”



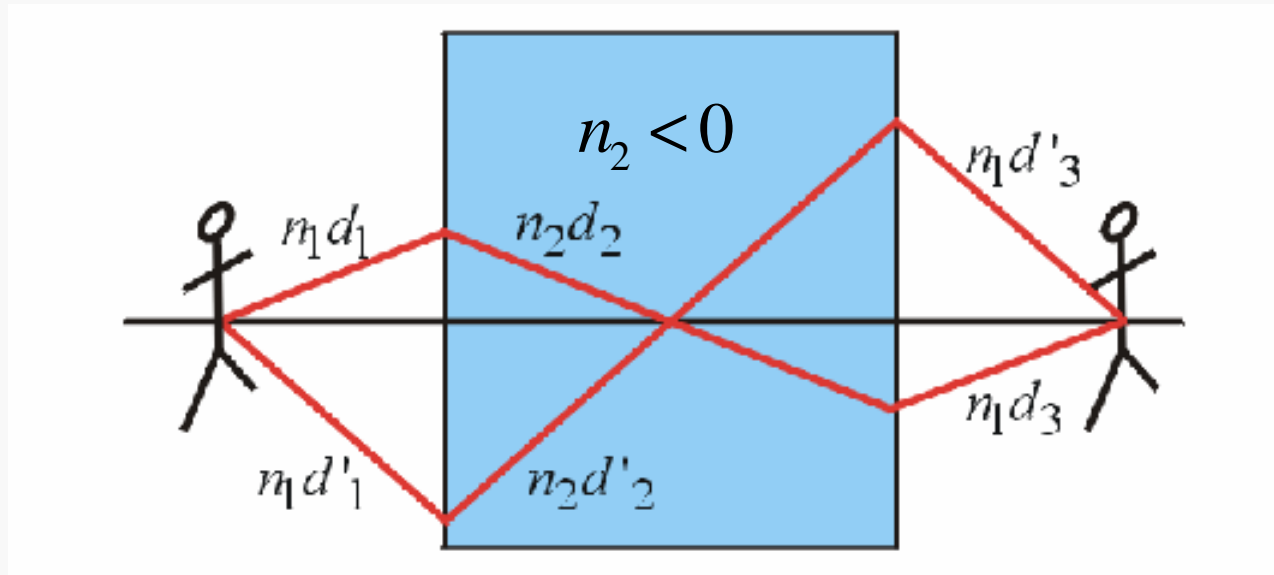
For a lens, the shortest optical path between object and image is:

$$n_1 d_1 + n_2 d_2 + n_1 d_3 = n_1 d'_1 + n_2 d'_2 + n_1 d'_3$$

Both paths converge at the same point because both correspond to a minimum.

Applications: Perfect lens

The Fermat's principle for negative refraction



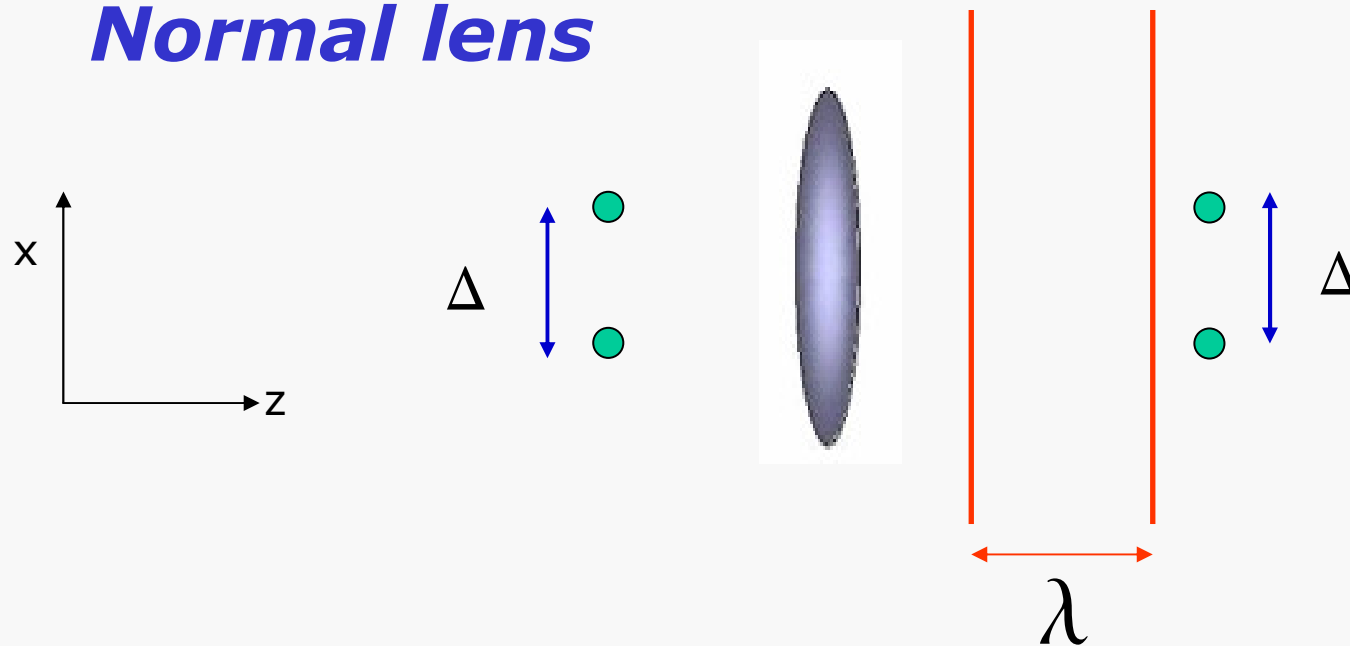
- For a perfect lens ($n_2 = -n_1$) the shortest optical path between object and image is zero.

$$\begin{aligned} 0 &= n_1 d_1 + n_2 d_2 + n_1 d_3 \\ &= n_1 d'_1 + n_2 d'_2 + n_1 d'_3 \end{aligned}$$

For a perfect lens the image *is* the object !

Applications: Perfect lens

Normal lens



To resolve two points at distance $\Delta \Rightarrow k_x > 2\pi / \Delta$

$$k_x^2 + k_z^2 = \frac{\omega^2}{c^2} \quad k_x < k_x^{\max} = \frac{\omega}{c} = \frac{2\pi}{\lambda} \quad k_x > k_x^{\max} \Rightarrow \text{Decay exponentially}$$

Resolution:

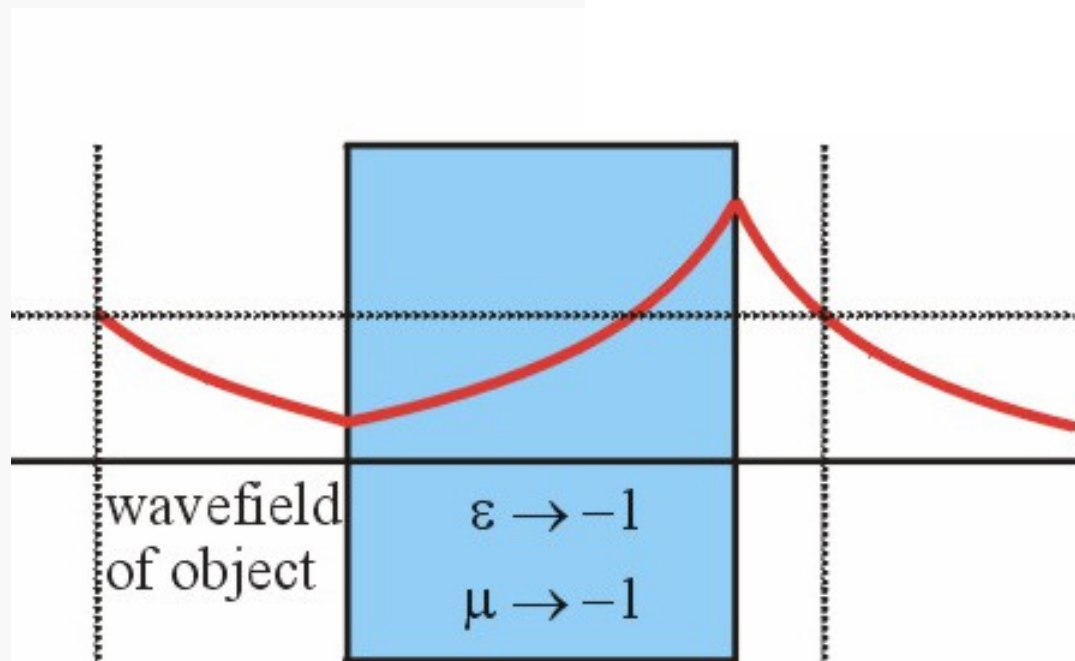
$$\Delta = \frac{2\pi}{k_x^{\max}} = \lambda$$

Diffraction limit!

Applications: Perfect lens

$n < 0 \Rightarrow$ *Amplification of evanescent waves !*

k_z can be imaginary and $k_x > k_x^{\max} = \omega/c$ possible



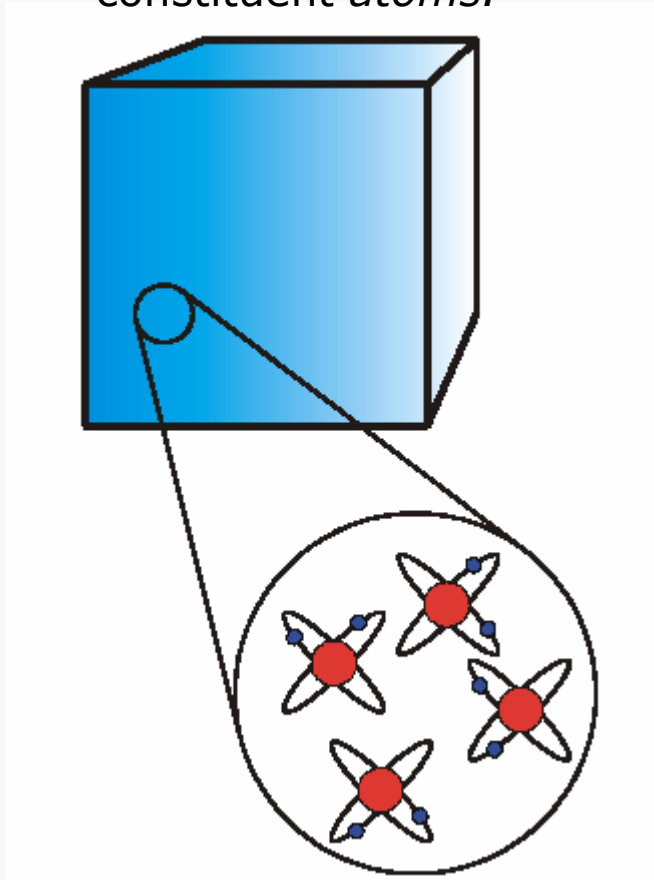
No resolution limit !

Sub-wavelength imaging possible

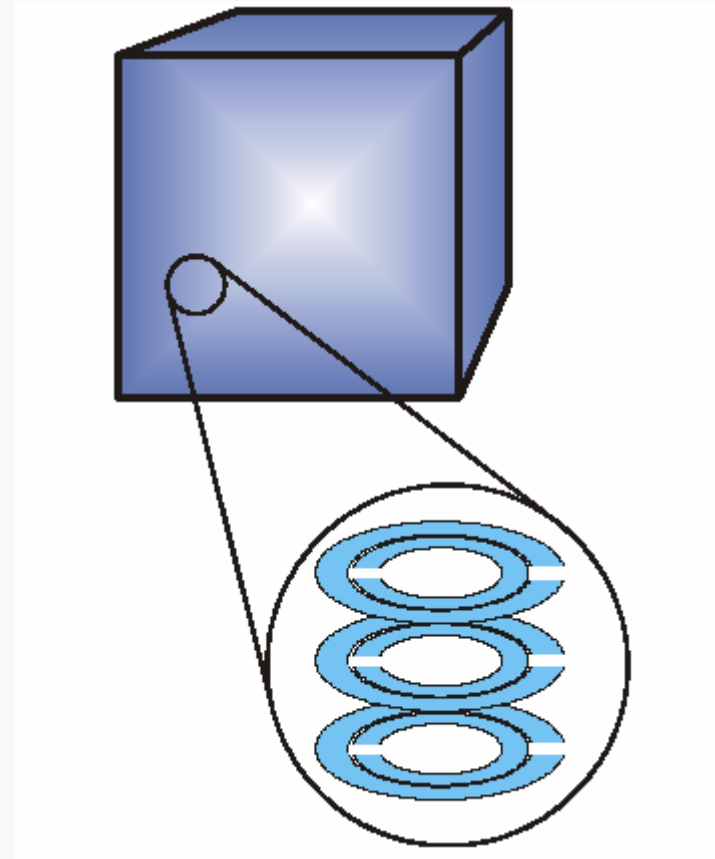
How to achieve NR ?

What is a Metamaterial?

Conventional materials:
properties derive from their
constituent *atoms*.



Metamaterials:
properties derive from their constituent
units. These units can be engineered.

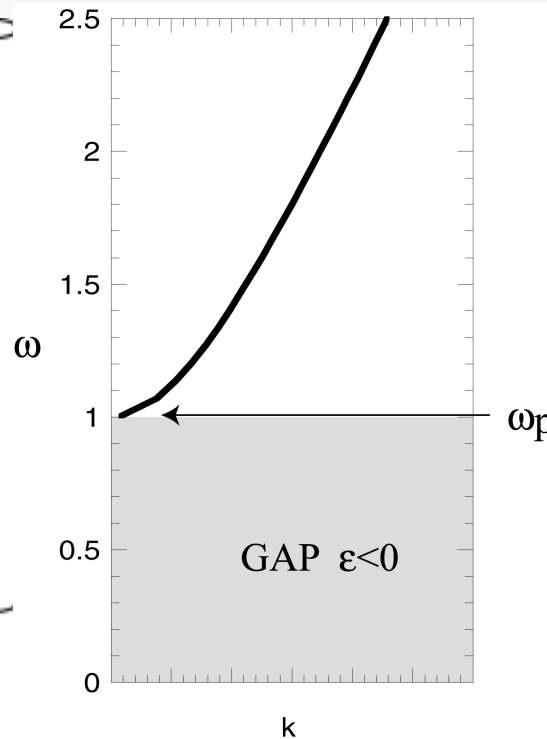
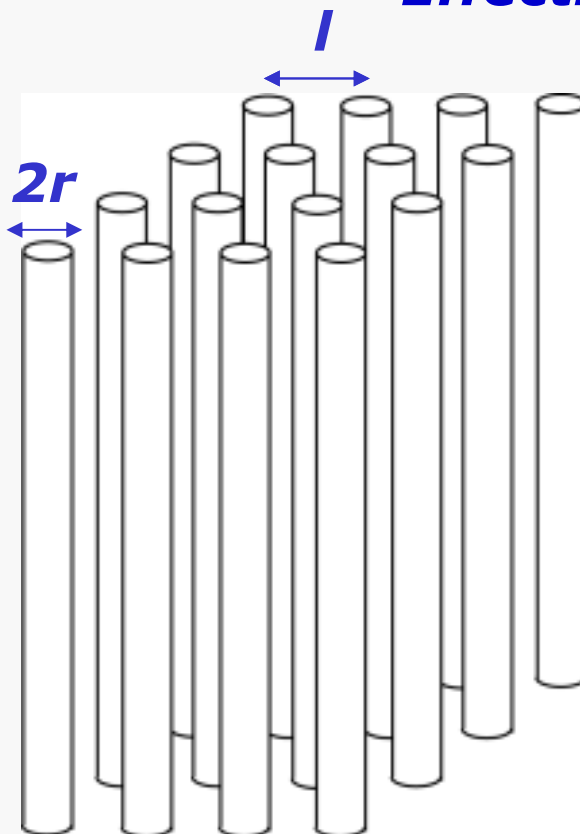


How to achieve NR ?

Metamaterials

Electric response: negative ϵ Arrays of thin metallic wires

Effective parameters $\Rightarrow \lambda \gg l, r$



$$\epsilon_{eff}(\omega) = 1 - \frac{\omega_p^2}{\omega^2}$$

$$\omega_p = \frac{2\pi c}{l \sqrt{2\pi \ln(l/r)}}$$

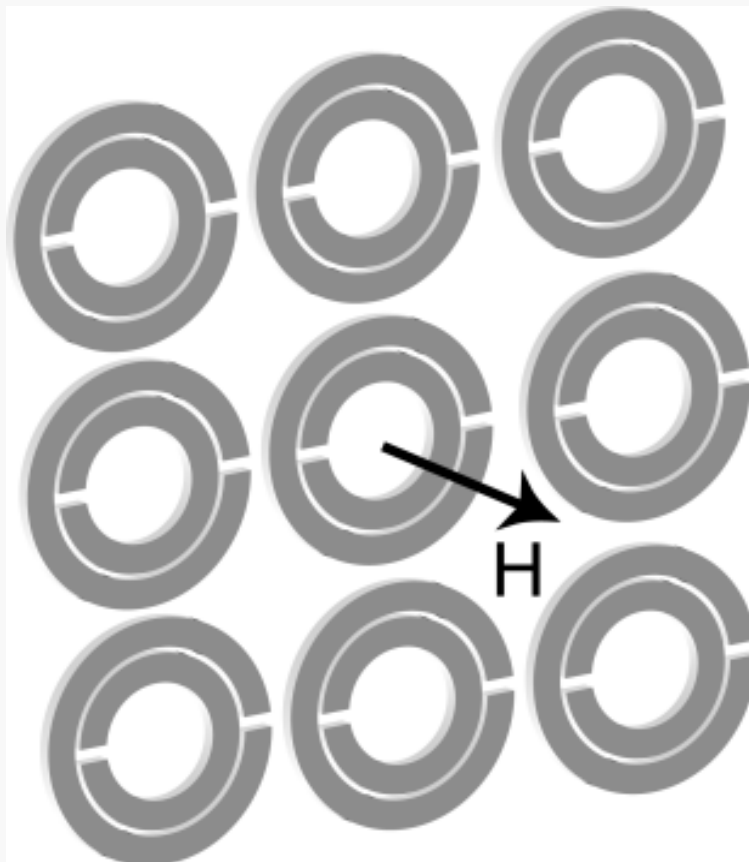
$$l = 5\text{mm} \quad r = 0.003l$$

$$\omega_p \approx 66.6\text{GHz}$$

How to achieve NR ?

Metamaterials

Magnetic response: negative μ Split Ring Resonators



$$\mu_{eff}(\omega) = 1 + \frac{F\omega^2}{\omega_{LC}^2 - \omega^2}$$

$$\omega_{LC} \approx GHz$$

inner radius = 2.0mm

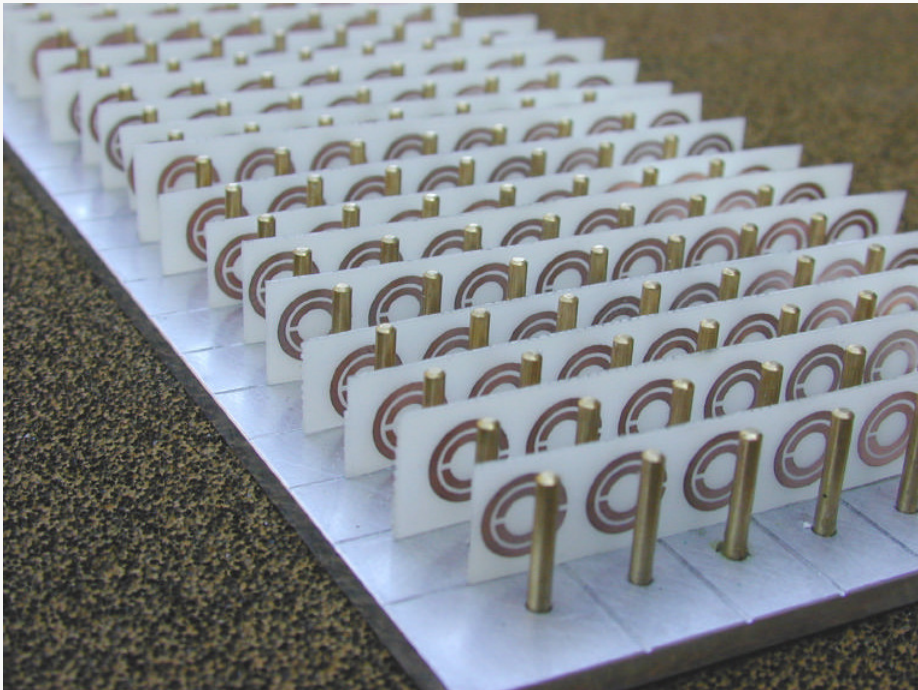
width of each ring = 1.0mm

spacing between ring edges = 0.1mm

lattice constant = 10.0mm

How to achieve NR ?

Metamaterials

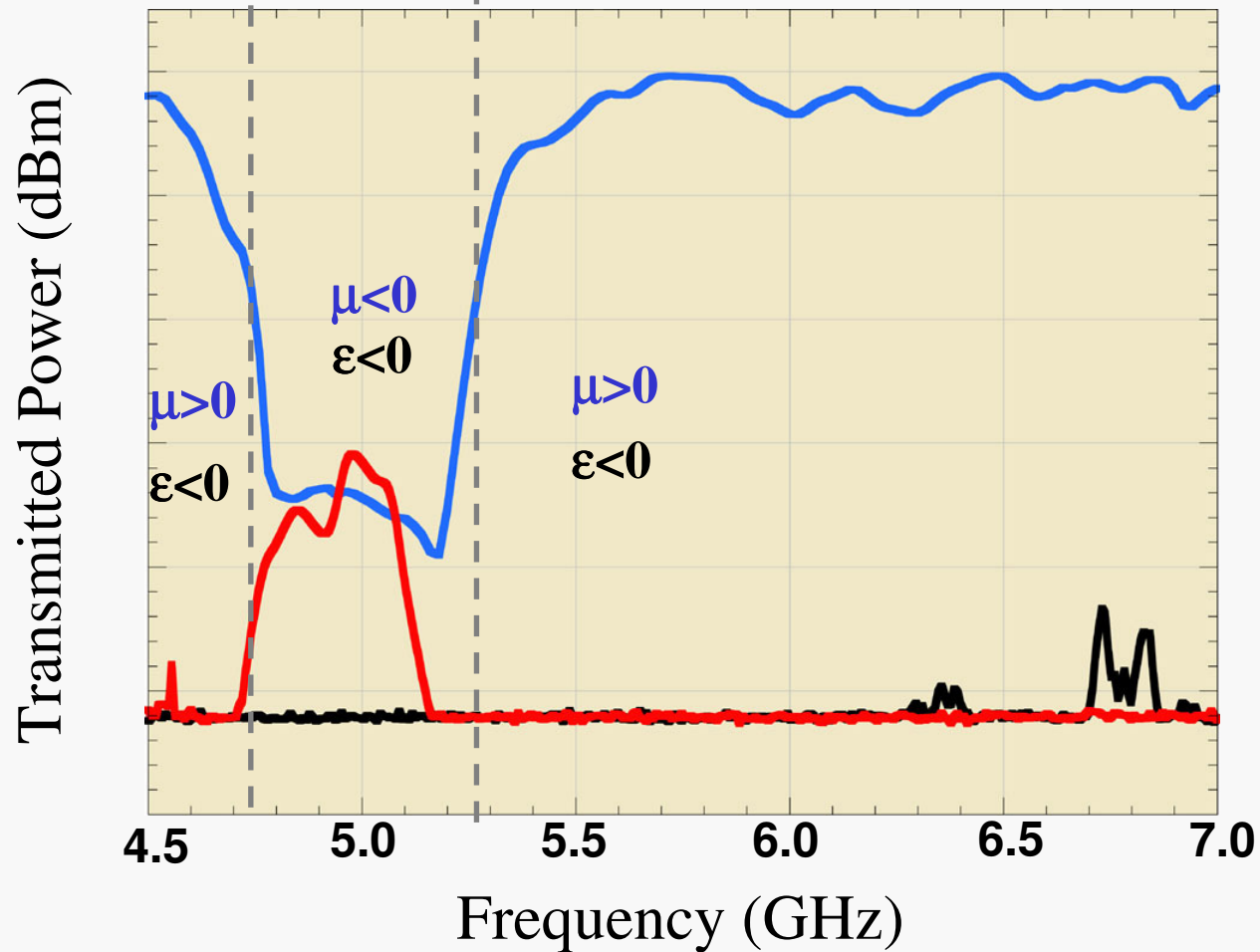


David Smith and Shelly Schultz, UCSD

How to achieve NR ?

Transmission measurements

D. Smith and S. Schultz group, UCSD



Further experimental evidence of NR

*After many criticisms,
intense debate...*

P.M. Valanju, et al., PRL. **88**,187401 (2002).

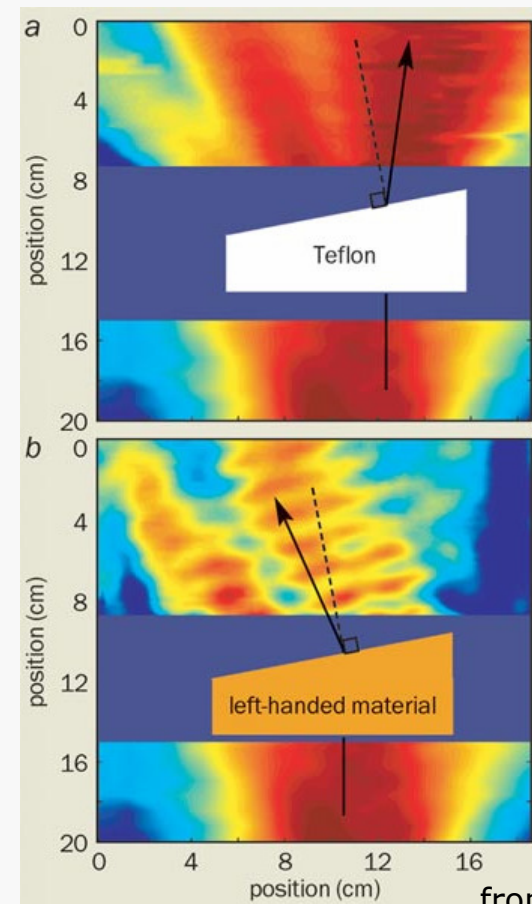
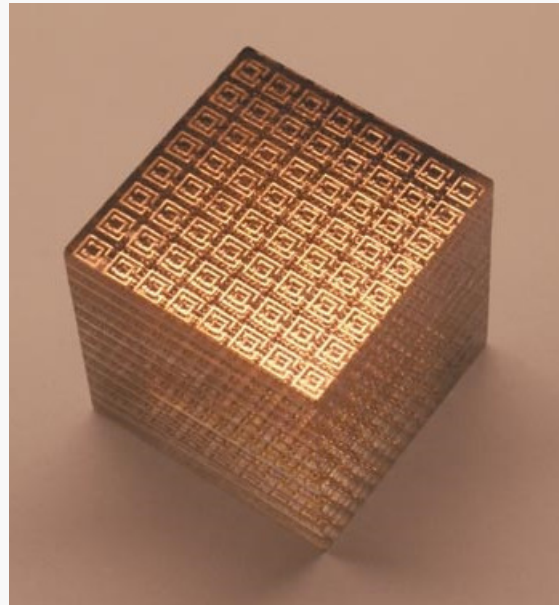
N. Garcia and M. Nieto-Verperinas, PRL **88**, 207403 (2002).

Observation of Snell's law for LHM

1) A. Houck et al.,PRL **90** 137401 (2003)

Microwave regime

2) C.G. Parazzoli et al.,
PRL 90, 107401 (2003)



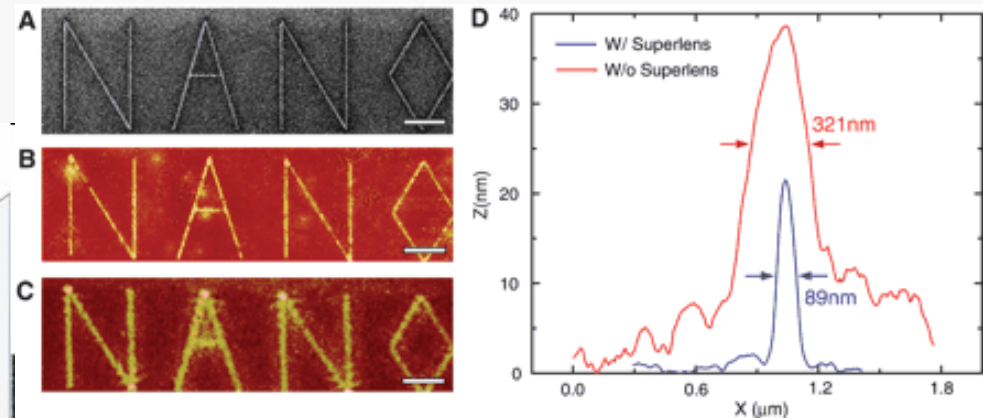
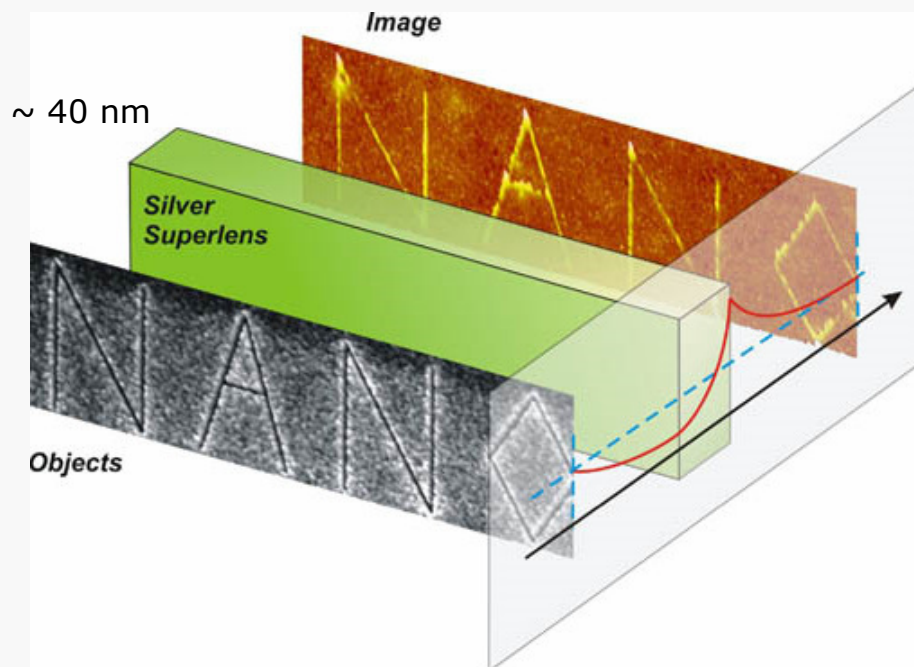
from Ref.1

Applications of NR

"Perfect" lens

Fang, N., Lee, H., Sun, C., Zhang, X., "Sub-diffraction-limited optical imaging with a silver superlens", Science **308**, 534 (2005)

Recovery evanescent waves in an image via the excitation of surface plasmons in a silver slab



60-nm resolution: 1/6 of illuminating λ !

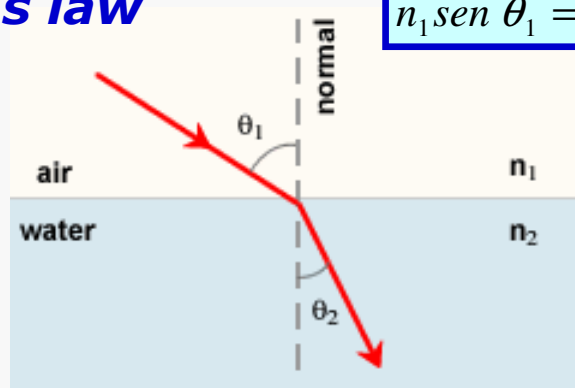
Applications of metamaterials: Electromagnetic cloaking

How to bend light ?

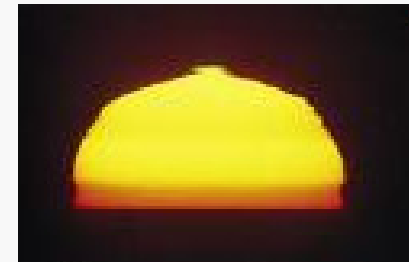
A simple example: refraction at a surface

Snell's law

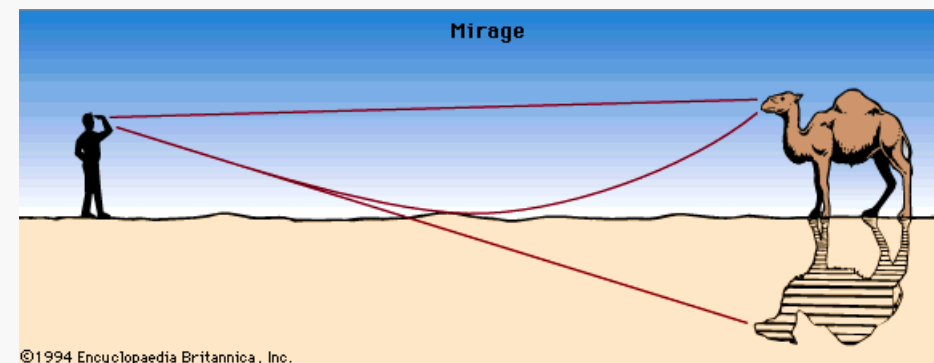
$$n_1 \sin \theta_1 = n_2 \sin \theta_2$$



Atmospheric seeing



Mirage \Rightarrow the *refractive index* varies continuously near the surface



©1994 Encyclopaedia Britannica, Inc.

How to bend light ?

Einstein's general theory of relativity

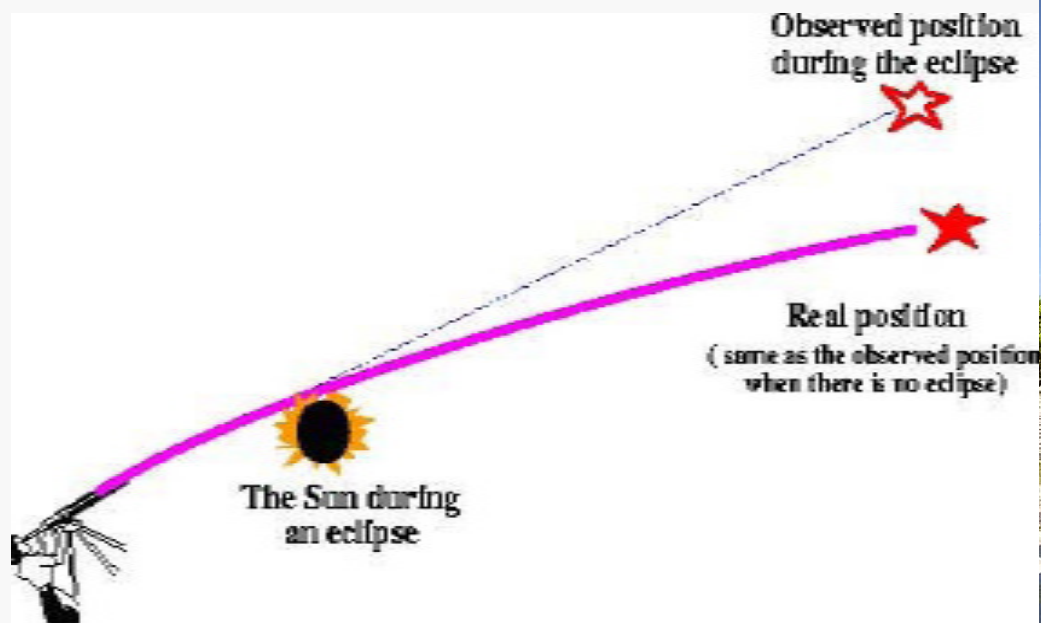


Gravity changes geometry



Thus gravity should bend light

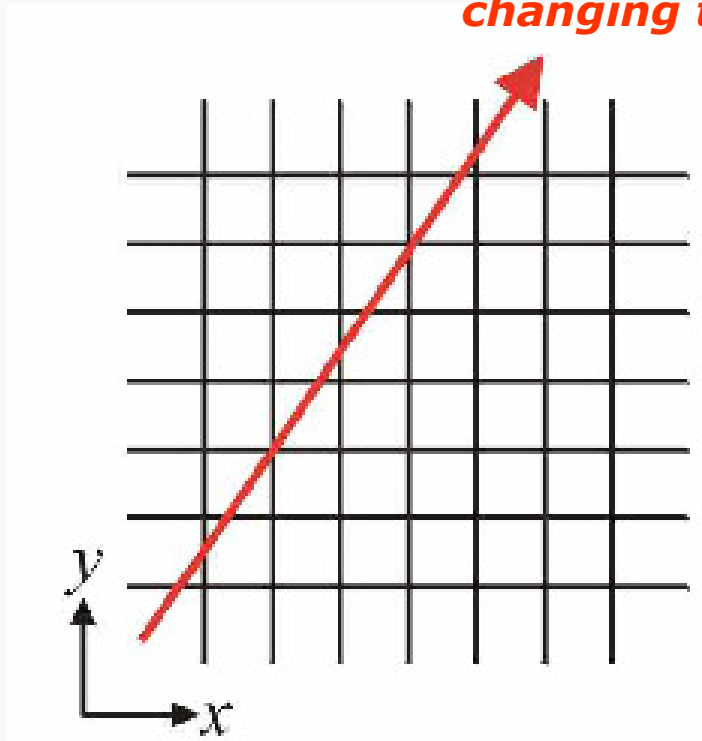
Sobral eclipse (1919)



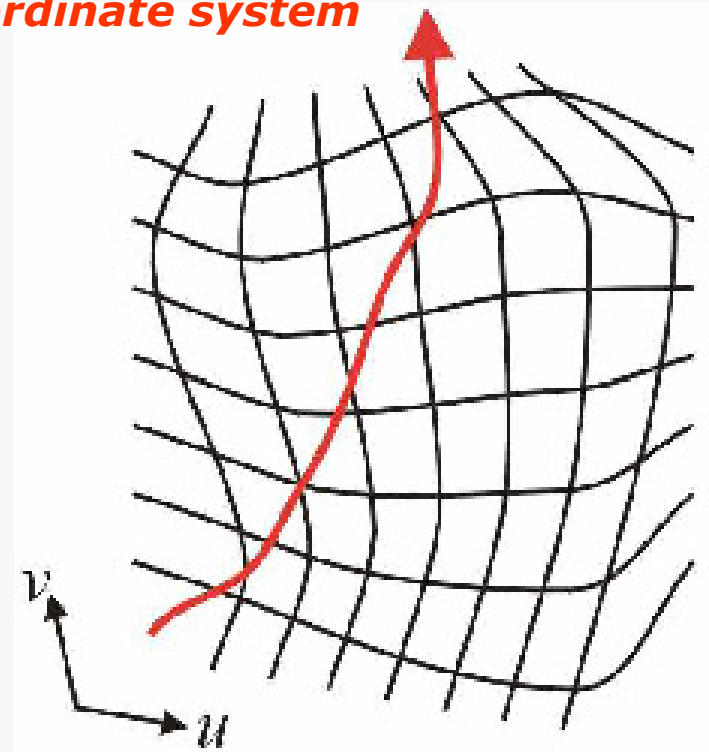
How to bend light ?

General relativity \leftrightarrow **Transformation optics**

Maxwell's equations are invariant upon changing the coordinate system



No gravitational fields

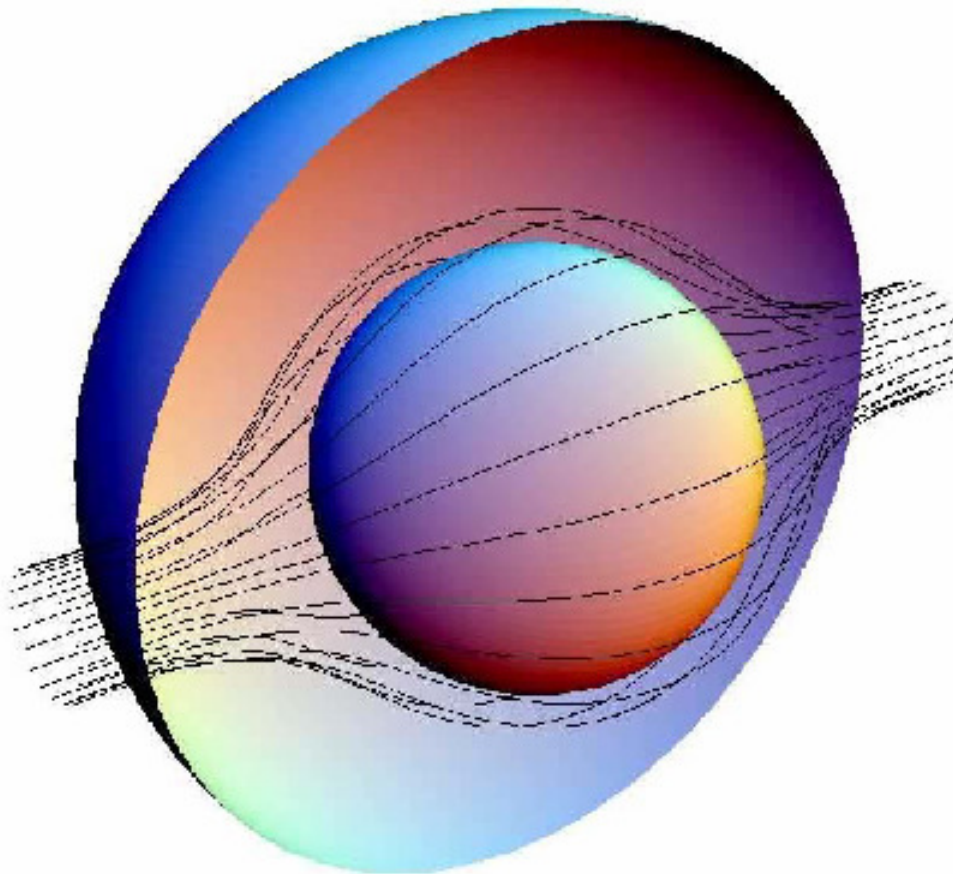


Massive objects distorts space and thus light rays \Rightarrow Metric g

Einstein's metric has exactly the same effect on light as a refractive index

How to bend light ?

Maxwell's equations are invariant upon changing the coordinate system but with scaled ϵ and μ

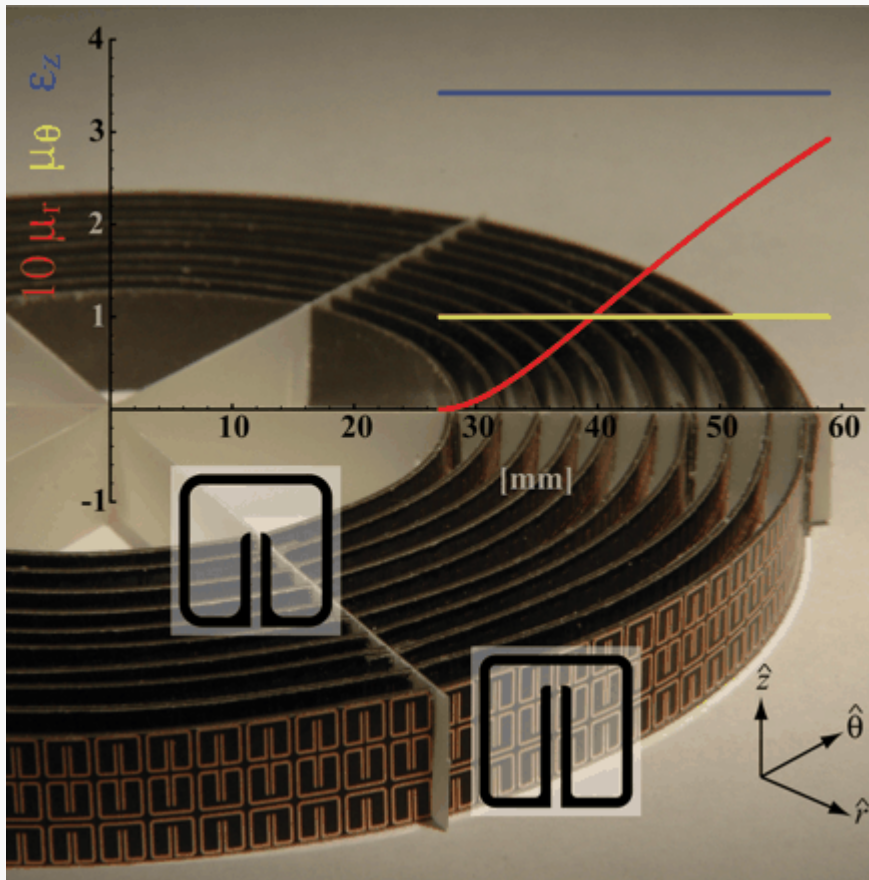


Electromagnetic cloak

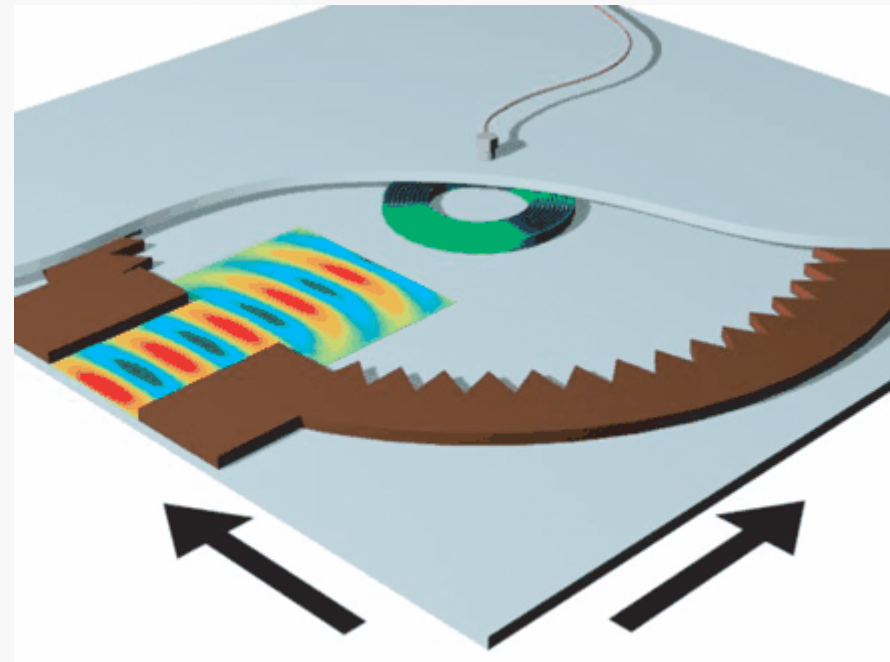
- Hide the object by bending light around the object (*mirage effect*).
- Grading the index of refraction in the cloak using *metamaterials*.

J.B. Pendry, D. Schurig, D.R. Smith,
Science **312**, 1780 (2006)

Electromagnetic cloak



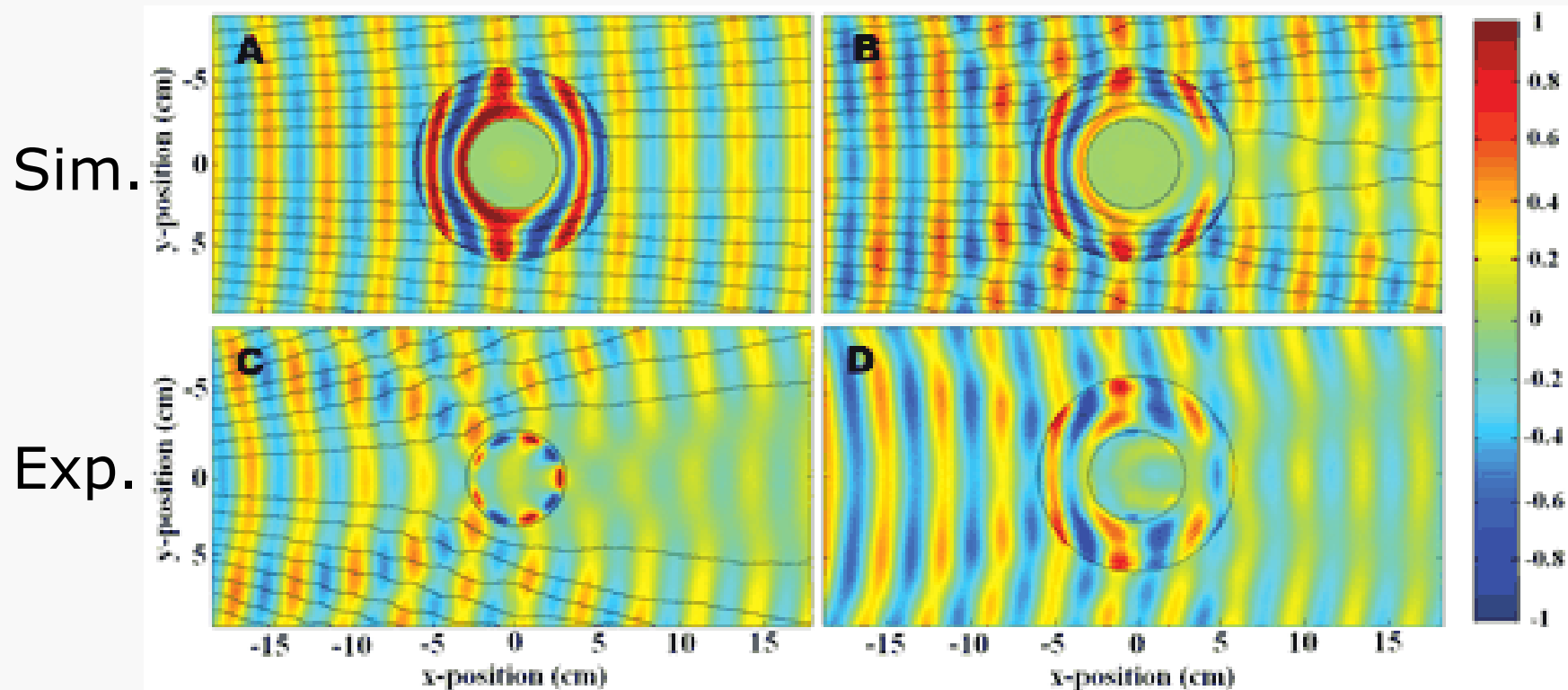
Metamaterial cloak
for microwaves



D. Schurig *et al.* Science **314**, 977 (2006)

Electromagnetic cloak

D. Schurig *et al.* Science **314**, 977 (2006)



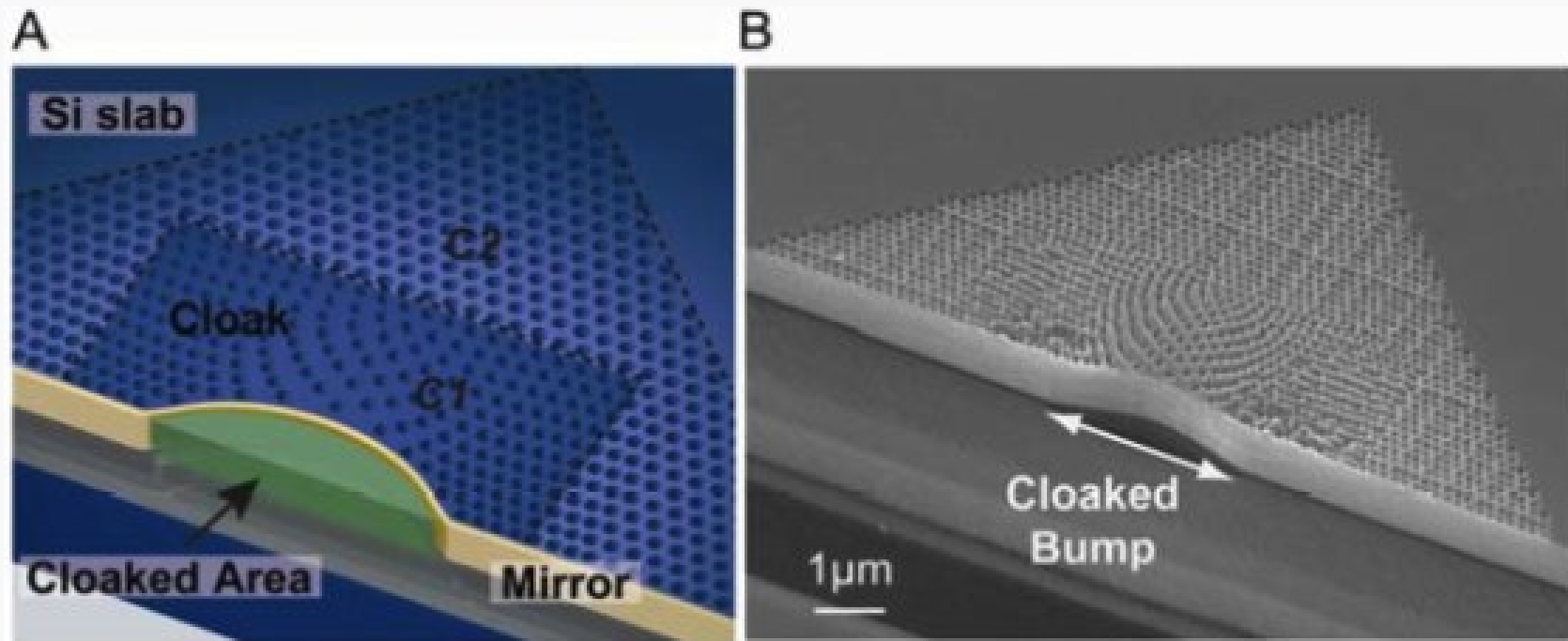
A cloaking experiment at 8.5GHz.

Electromagnetic cloak

Towards the visible...the carpet cloak!

Idea: J. Li and J. B. Pendry, PRL **101**, 203901 (2008).

Experiment: J. Valentine *et al.*, Nature Materials (2009)
L. Gabrielli *et al.*, cond-mat 0904.3508 (2009)



U.C. Berkeley (2009)

Felipe Pinheiro, Ciência Hoje junho (2009)

Electromagnetic cloak

**Towards the visible
...the carpet cloak!**

Near Infrared

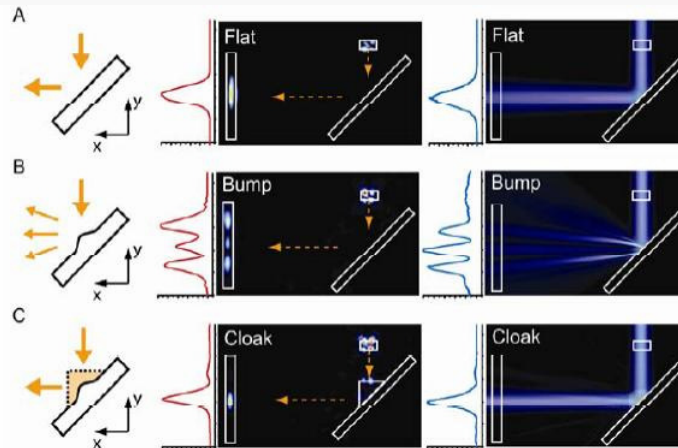
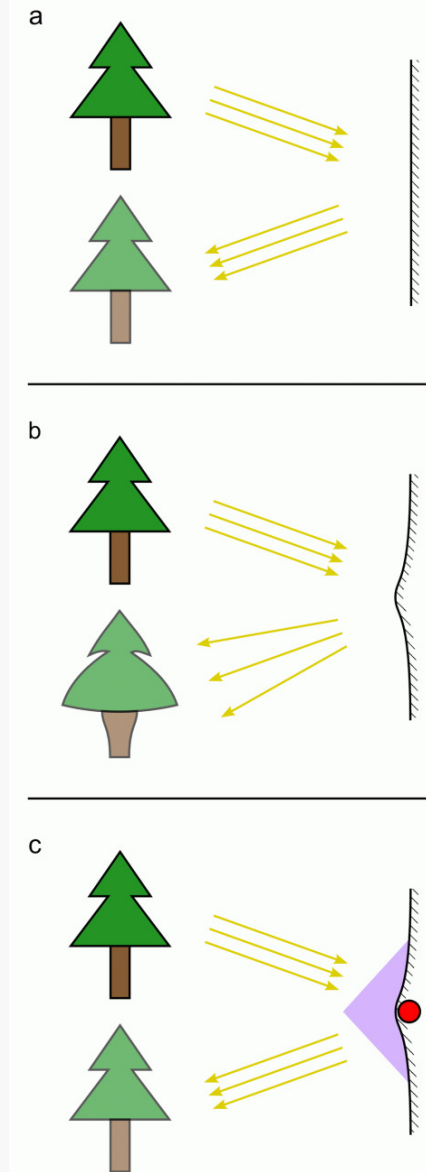


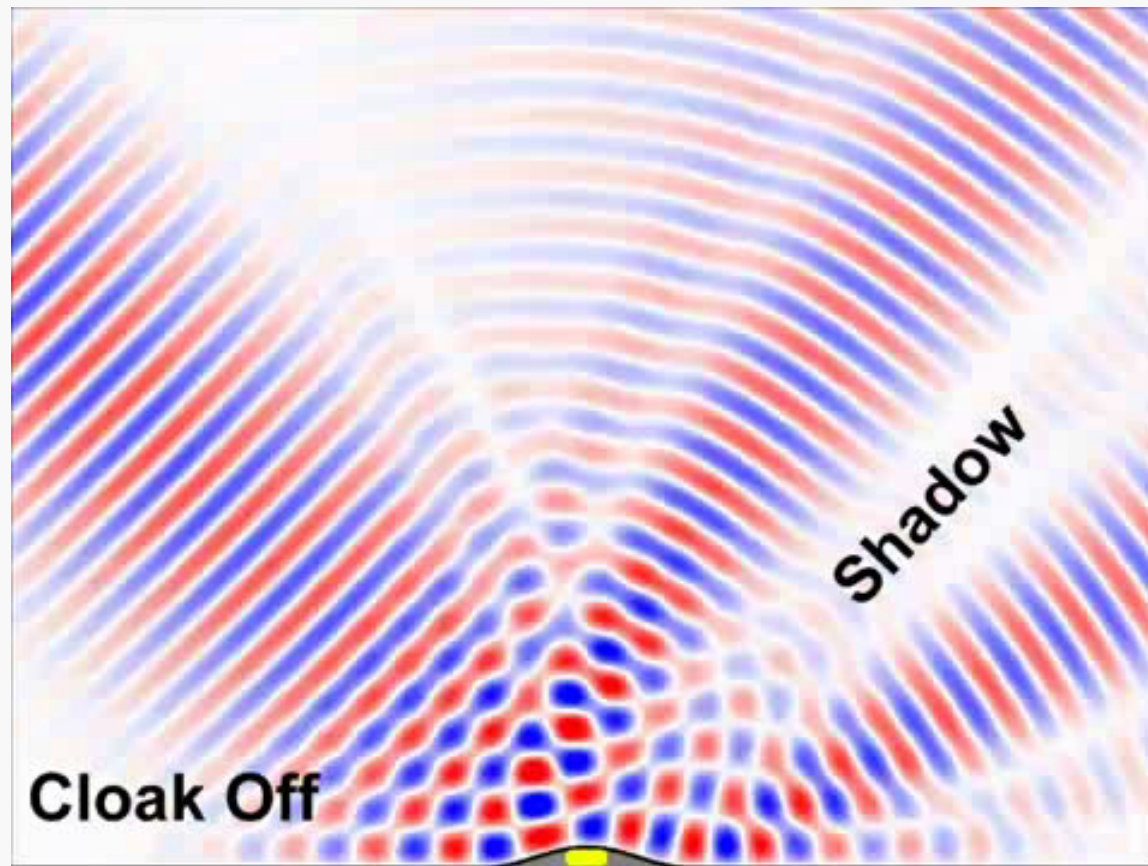
Fig. 3. Optical carpet cloaking at a wavelength of 1540 nm. The results for a Gaussian beam reflected from (A) a flat surface (B) a curved (without cloak) surface, and (C) the same curved reflecting surface with cloak. The left panel shows the schematics. The middle panel shows the optical microscope images and normalized intensity along the output grating position. The curved surface scatters the incident beam into three separate lobes while the cloaked curved surface maintains the original profile, similar to reflection from a flat surface. The experimental intensity profile agrees well with the intensity profile ($|E_z|^2$) from 2D simulations (right panel).

U.C. Berkeley (2009)



Electromagnetic cloak

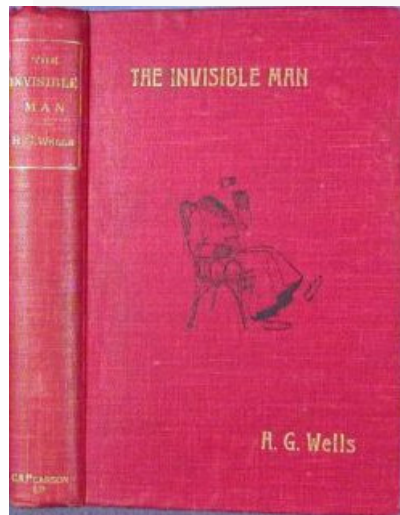
U.C. Berkeley (2009)



Electromagnetic cloak

Perspectives for the future...

Herbert G. Wells (1897)



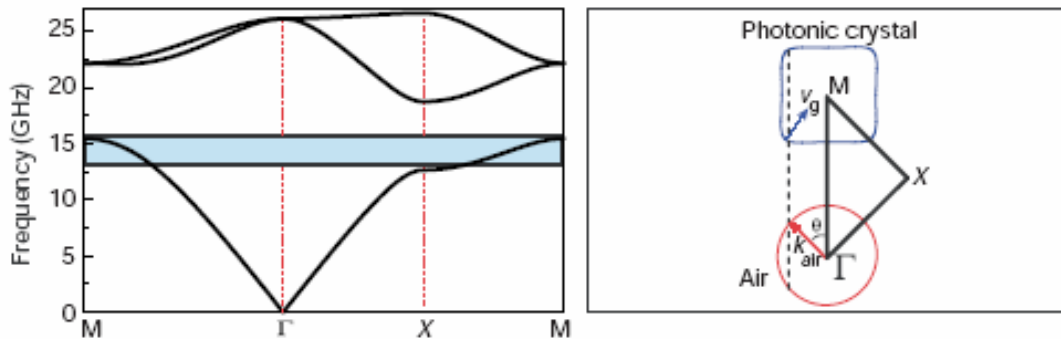
© Original Artist
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www.CartoonStock.com



"I never want to see you again."

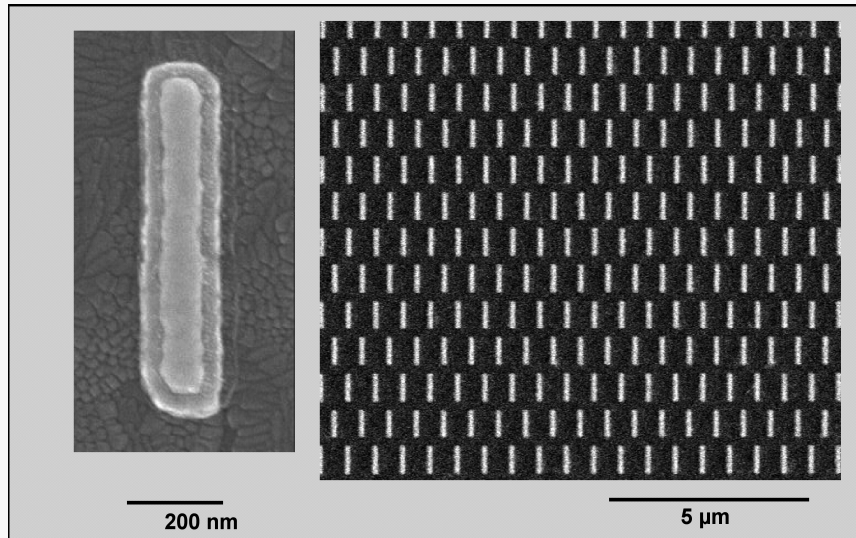


Alternative routes to NR ?



Soukoulis group (Iowa)

NR at the interface
air-photonic crystals
(square array of alumina
rods in the air)



Shalaev group (Purdue)

Parallel "nanorods" of gold
that conduct
plasmons in the near-infrared.

Alternative routes to NR ?

The chiral route to NR

J. B. Pendry, Science **306**, 1353 (2004)

Isotropic chiral media

$$k_{\pm} = (n \pm \kappa) \frac{\omega}{c_0}$$

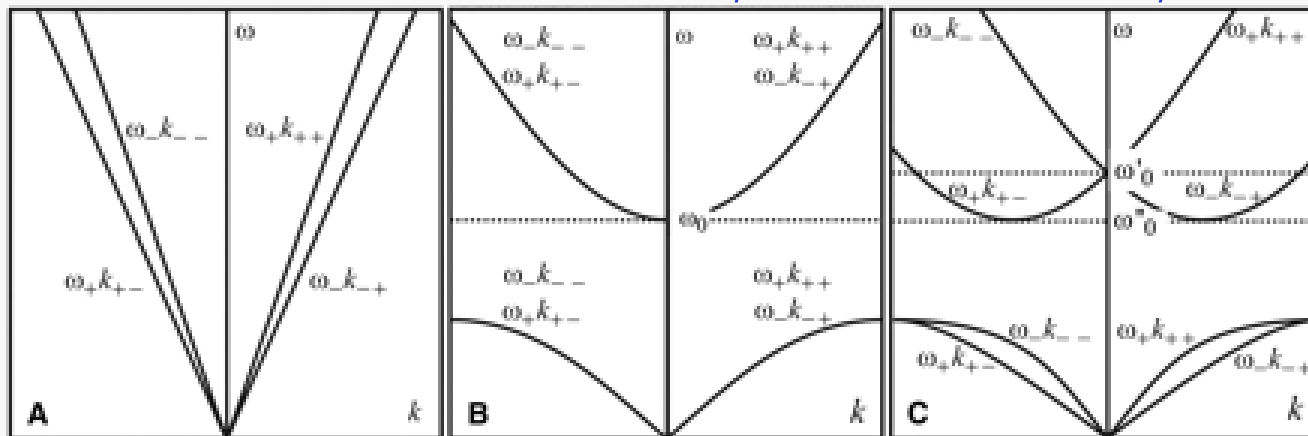
If the chirality parameter $|\kappa| > n \Rightarrow v_p$ opposite to energy flow (NR)
for one polarization state

Ingredients \Rightarrow **Resonance + Chirality**

Homogeneous
chiral medium

Homogeneous
achiral medium with
resonant dipoles

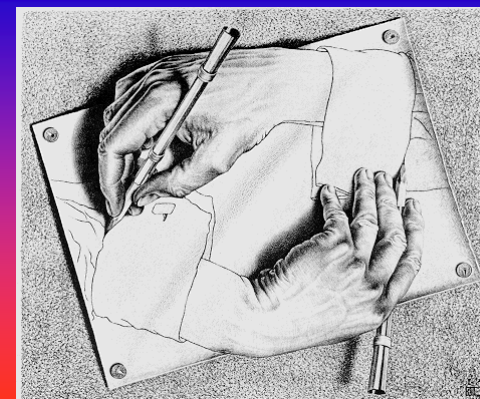
Homogeneous
chiral medium with
resonant dipoles



NRB

What is

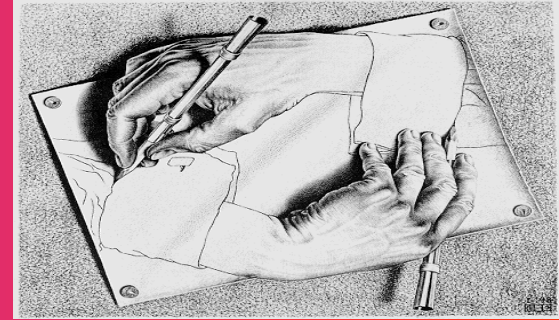
¿ Chirality ?



“I call any geometrical figure, or group of points, *chiral*, and say that it has *chirality*, if its image in a plane mirror, ideally realized, cannot be brought to coincide with itself ”.

Lord Kelvin, Baltimore Lectures, 1884

Some Manifestations of Chirality in Nature



"I am inclined to think that life, as manifested to us, must be a function of the dissymmetry of the universe and of the consequences it produces.... Life is dominated by dissymmetrical actions. I can even foresee that all living species are primordially, in their structure, in their external forms, functions of cosmic dissymmetry."

-Louis Pasteur (c. 1850, Vallery-Radot)



Discovery of the optical rotatory power of light by Arago (1811).



The DNA (1953)

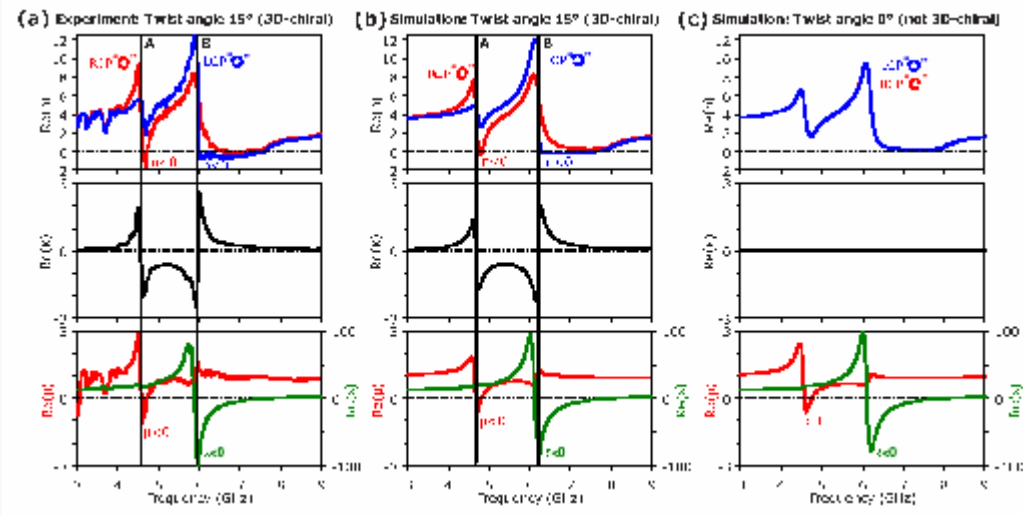
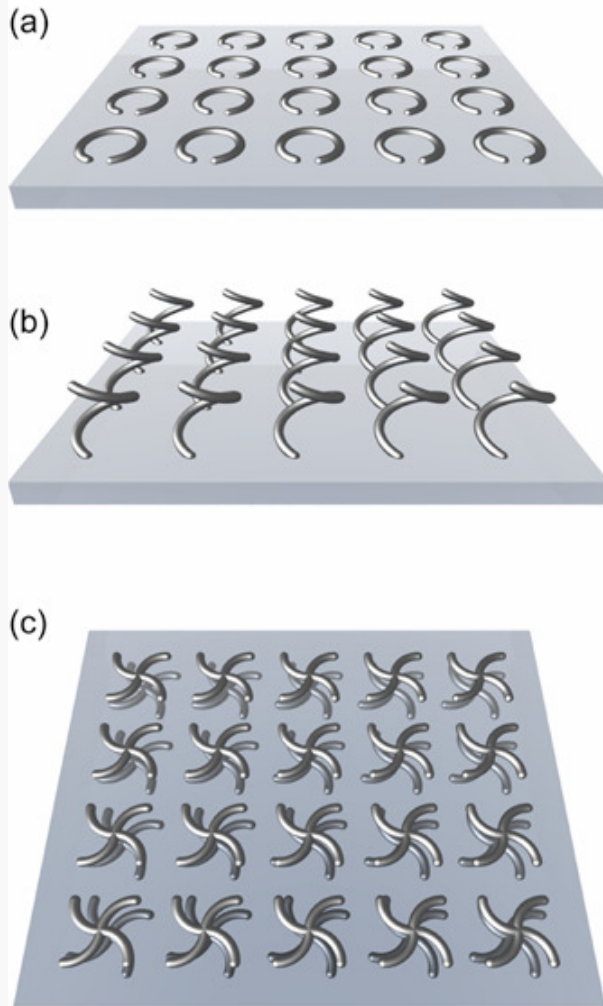


Parity violating "vector-axial vector" theory of the weak interaction by Feynman, Gell-Mann, Marshak and Sudarshan (1958).

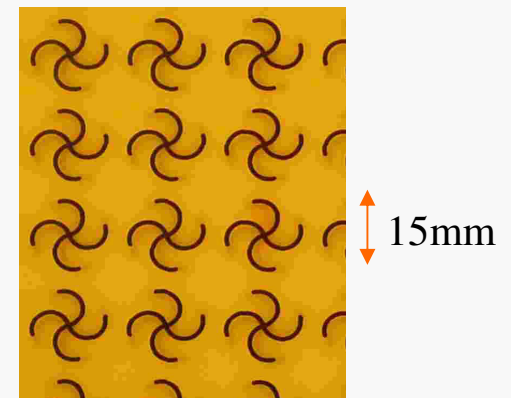
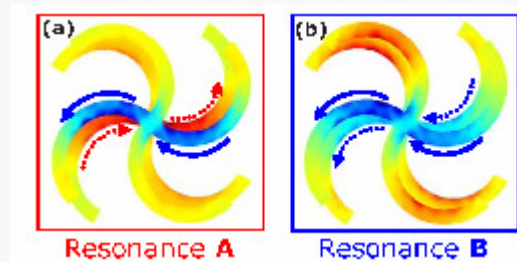
The chiral route to NR

Chiral planar metamaterials

Huge optical activity and NR



Nature Photonics **3**, 133 (2009)
 PRB **79**, 035407 (2009)
 Viewpoint in Physics **2**, 3 (2009)



Conclusions

- Metamaterials offer an enormous potential for new physics and applications.
- Super lens \Rightarrow Overcomes the diffraction limit
- Emerging field \Rightarrow ***Transformation optics***
- Cloaking at visible frequencies.
- New chapters should be added to textbooks on Electrodynamics.
- Nice example of a contemporary research topic that can be presented to high-school students.
- Old and well-established concepts of physics can be the subject of a lively debate.